

## IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: Dirk VOLLENBROICH et al.  
INTERNATIONAL APPL. NO.: PCT/EP97/04353  
APPL. NO.: 09/242,343  
FILED: February 12, 1999  
FOR: NEW ANTIVIRAL LIPOPETIDES, AND  
A METHOD OF INACTIVATING  
LIPID-ENVELOPED VIRUSES USING  
LIPOPETIDES

LETTER SUBMITTING ADDITIONAL DOCUMENTS FOR  
ENTERING NATIONAL PHASE FOR A PCT APPLICATION

BOX PCT  
Assistant Commissioner for Patents  
Washington, DC 20231

April 12, 1999

Sir:

Under the provisions of 37 C.F.R. § 1.494 or 37 C.F.R. § 1.495, attached hereto are the following additional items necessary for entering the national phase in connection with the above-identified PCT international application.

- ☒ Executed Declaration and Power of Attorney.  
☒ Original ☐ Photocopy  
☐ The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification which was filed in the U.S. Patent and Trademark Office on February 12, 1999, including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that "Attorney Docket No. 2694-119P" on page 1 of the attached inventors' Declaration corresponds to Appl. No. 09/242,343 filed February 12, 1999 entitled "NEW ANTIVIRAL LIPOPETIDES, AND A METHOD OF INACTIVATING LIPID-ENVELOPED VIRUSES USING LIPOPETIDES."

☒ Translation of International Preliminary Examination Report.

☒ Attached hereto is a Statement Claiming Small Entity Status (☒ original ☐ photocopy).

☐ Attached is a copy of Form PCT/DO/EO/905.

☒ A Request for Refund of 50% of the filing fee is being filed on even date herewith under separate cover

☒ No extension fee is required because the undersigned has not yet received the Notification of Missing Requirements (Form PCT/DO/EO/905). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

The Government Filing Surcharge in the amount of \$130.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on February 12, 1999.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Joe McKinney Muncy  
Joe McKinney Muncy, #32,334

<sup>W</sup>  
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2694-119P

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Attachments

(Rev. 03/30/99)



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## IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANTS: Dirk VOLLENBROICH et al

INT'L. APPLN. NO.: PCT/EP97/04353

SERIAL NO.: 09/242,343

GROUP: Unassigned

FILED: February 12, 1999

EXAMINER: Unassigned

FOR: NEW ANTIVIRAL LIPOPETIDES, AND A METHOD OF  
INACTIVATING LIPID-ENVELOPED VIRUSES USING  
LIPOPETIDESATTENTION: Refund Section  
Accounting Division  
OFFICE OF FINANCE

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JUN 16 1999

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REQUEST FOR A REFUND OF 50% OF THE FILING FEEAssistant Commissioner for Patents  
Washington, DC 20231

April 12, 1999

Sir:

The following documentation and remarks are respectfully  
submitted in connection with the above-identified application.

## DOCUMENTATION

Attached hereto is a copy of two (2) executed Statements  
Claiming Small Entity Status - Independent Inventor, filed on  
even date herewith under separate cover.

1999 APR 14 AM 11:50

RECEIPT ACCOUNTING  
DIVISION

Refund Section

Application Serial No. 09/242,343  
Attorney Docket No. 2694-119P  
REMARKS

Pursuant to 37 C.F.R. § 1.28(a), applicants hereby request a refund of 50% of the Filing Fee and the Surcharge for furnishing the oath or Declaration. Small entity status has been established by the filing of a statement on April 12, 1999.

The present application was filed on February 12, 1999 with a filing fee of \$1060.00. It is respectfully requested that the excess fee of \$530.00 be credited to Deposit Account No. 02-2448.

Favorable action on the present Request is respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and further replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

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